

07/06/01

J1054  
US PTO

07-10-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages **39**]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [ Total Sheets **21** ]
- Oath or Declaration [ Total Pages **2** ]
  - Newly executed (original or copy)
  - Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
    - DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-In-part (CIP)    of prior application No.: **09, 237,557**
Prior application Information:    Examiner Debra Meislin    Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> <i>(Insert Customer No. or Attach bar code label here)</i>		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Marvin Feldman				
Address	Lackenbach Siegel One Chase Road				
City	Scarsdale	State	NY	Zip Code	10583
Country	US	Telephone	(914) 723-4300		Fax 723-4301
Name (Print/Type)	Marvin Feldman		Registration No. (Attorney/Agent)	25,797	
Signature			Date	July 9, 2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JC903 09/01/01  
07/09/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number	
Filing Date	7/9/2001
First Named Inventor	Wayne Anderson, et al
Examiner Name	Debra Meislin
Group Art Unit	3723
Attorney Docket No.	P-14 (n) CLP/CONT

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name **10-0100**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$) Fee Code (\$) Fee Description

Fee Paid

101	710	201	355	Utility filing fee	\$355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ **355**)

## 2. EXTRA CLAIM FEES

Total Claims	-20** =	X	=	Fee Paid
Independent Claims	- 20** =	X	=	
Multiple Dependent	- 3** =	X	=	

Large Entity Small Entity

Fee Code (\$) Fee Code (\$) Fee Description

103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ **355**)

\*or number previously paid, if greater; For Reissues, see above

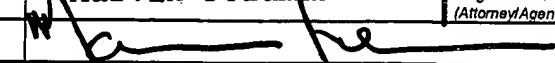
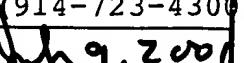
## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for ex parte reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	390	216	195 Extension for reply within second month
117	890	217	445 Extension for reply within third month
118	1,390	218	695 Extension for reply within fourth month
128	1,890	228	945 Extension for reply within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,240	241	620 Petition to revive - unintentional
142	1,240	242	620 Utility issue fee (or reissue)
143	440	243	220 Design issue fee
144	600	244	300 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Processing fee under 37 CFR 1.17(q)
126	180	126	180 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355 Request for Continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Marvin Feldman	Registration No. (Attorney/Agent)	25,797	Telephone (914-723-4300)
Signature			Date	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231

Sir: TRANSMITTED HEREWITH FOR FILING IS A  
U.S. PATENT APPLICATION FOR:

Inventor(s): Wayne Anderson et al.

Title: Improved Hand/Survival Tool Having Multiple  
Implements

Attorney Docket No.: P-14 CONT/CIP

Enclosed are:

- Specification, claims, Abstract
- 21 sheet(s) of drawings  
X FORMAL        INFORMAL
- An Assignment of the Invention
- A Declaration and Power of Attorney (unsigned; signed Declaration to follow)
- A Verified Statement to establish small entity status under 37 CFR 1.9 and 34 CFR 1.27 – will follow.
- Priority is claimed Priority document(s) is  ENCLOSED  NOT ENCLOSED.

The filing fee has been calculated as shown below:

FOR	NO. FILED	NO. EXTRA
Basic Fee		
Total Claims	57 - 20 =	37
Indep Claims	5 - 3 =	2
<input type="checkbox"/> Multiple Dependent Claim Presented: No.		

Fee for extra claims: \$ 0

SMALL ENTITY	
RATE	FEES
	\$ 380.00
\$9.00	\$ 333.00
\$39.00	\$ 78.00
	\$
TOTAL	\$ 791.00

LARGE ENTITY	
RATE	FEES
	\$790.00
	\$
	\$
	\$
	\$
TOTAL	\$ 790.00

- Please charge my Deposit Account No. 10-0100 in the amount of \$  
A duplicate copy of this sheet is enclosed.
- A check in the amount of \$791.00 to cover the filing fee will follow.  
\*Check does not include \$40.00 assignment recording fee.
- The Commissioner is hereby authorized to charge payment of the fees associated with this communication  
and during the pendency of this application, such as an extension or issue fee if mistakenly not paid or  
insufficient, and/or any other fee due but not paid by check, etc., or credit any overpayment to Deposit  
Account No. 10-0100. A duplicate copy of this sheet is enclosed.

Dated: January 26, 1999  
One Chase Road  
Scarsdale, NY 10583  
(914) 723-4300

Enclosed for filing under X 35 USC 111 or        35 USC 371

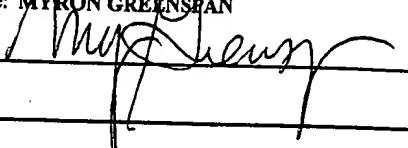
Certificate of Mailing By "Express Mail"  
"Express Mail" Mailing Label No.

EL 133 325 824 US

Date of Deposit: January 26, 1999

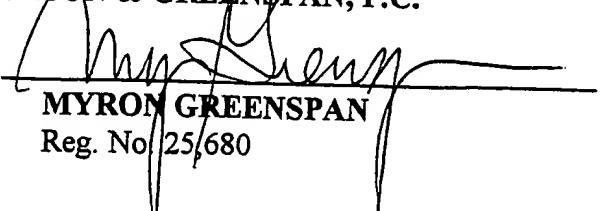
I hereby certify that this paper or fee is being deposited with  
the United States Postal Service as "Express Mail Post Office  
to Addressee" under 37 C.F.R. § 1.10 on the date indicated  
above and is addressed to the Commissioner of Patents and  
Trademarks, Washington, D.C. 20231.

Print Name: MYRON GREENSPAN

Signature: 



LACKENBACH SIEGEL MARZULLO  
ARONSON & GREENSPAN, P.C.

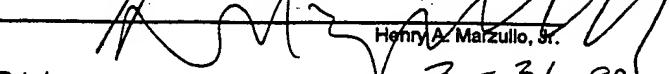
BY: 

MYRON GREENSPAN  
Reg. No. 25/680

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

  
Henry A. Marzullo, Sr.

Dated: 3-31-99  
Applicant hereby petitions that any and all extensions of the term necessary to render this response timely be granted. Costs for such extension(s) and or any other fee due with this paper, not fully covered by an enclosed check may be charged to Deposit Account #10-0100.

Inventors: Wayne Anderson

Serial No.: 09/237,557

Filing Date: 1/26/99

Examiner:

Art. Unit: 3723

Title: IMPROVED HAND/SURVIVAL TOOL HAVING MULTIPLE IMPLEMENTS

Docket No.: P- 14CONT/CIP

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Assistant Commissioner of Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In reply to the official communication mailed February 11, 1999

in the above patent application, applicants enclose herewith both an executed Declaration and Power of Attorney and a Declaration Claiming Small Entity Status.

A check in the amount of \$791.00, payable to the Commissioner of

JC903 U.S. PTO  
09/901305  
07/09/01



Patents and Trademarks, is enclosed and includes the Government Filing Fee  
of \$380; \$333 for 37 additional dependent claims and \$78 for 2 additional  
independent claims. We are also enclosing a copy of this/notice. The \$65  
Government Surcharge fee for late filing of the Declaration and Small Entity  
Declaration is to be charge to the undersigned attorney's Deposit Account  
Number 10-0100. A duplicate copy of this page is attached for such purpose.

In the event that a charge or credit is to be made relative to this subject  
patent application, then same may also be charged or credited to the  
attorney's Deposit Account No. 10-0100.

Accordingly, we await confirmation of the above-mentioned enclosures.

Respectfully submitted,

LACKENBACH SIEGEL MARZULLO  
ARONSON & GREENSPAN

BY: \_\_\_\_\_

HENRY A. MARZULLO, JR.  
Reg. No. 20,910

Dated: 3 - 31 - 99  
One Chase Road  
Scarsdale, New York 10583  
914-723-4300

V & C DOCKET

FEB 26 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

By

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
US/203, FEB 26, 1999	ANDREW RON		ATTORNEY COPY

LACKENBACH SIEBEL MARZUR, L.C. ARONSON &  
GREENSPAN  
PENTHOUSE SUITE  
ONE CHASE ROAD  
SCARSDALE NY 10583

NOT ASSIGNED:

07/20

DATE MAILED:

02/23/1999

JC903 U.S. PTO  
09/901305  
07/09/01

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 712.00.

1. The statutory basic filing fee is:

missing.  
 insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ 156 for 2 independent claims over 3.

\$ 1664 for 37 dependent claims over 20.

\$            for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unexecuted.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Best Way

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Inventor: ANDERSON et al.  
Docket No.: P-14 CONT/CIP  
Serial No.: \_\_\_\_\_  
Resp. Due: \_\_\_\_\_  
Date Sent: 1/26/99

Sir:

Kindly acknowledge receipt of the accompanying

\_\_\_\_ Month(s) Ext. of Time     New Application    ~~\_\_\_\_\_~~ Check  
 Amendment  
 Response  
 Response to Final  
 Appeal Brief  
 37 CFR 1.8(a) Cert. *EL*  
 37 CFR 1.10 Cert. (No. 133 325 824 US)

Base Issue Fee  
 Balance Issue Fee  
 Priority Documents  
 Discl. Statement

*7 \$791.00 check  
will follow.*

Notice of Appeal  
 Assignment  
 Other 21 sheets  
of formal  
drawings +  
assigned Deal

by placing your receiving date stamp hereon and mailing.

*Post card as*

*Mild*

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Washington, D.C. 20231

Siri

Kindly acknowledge receipt of the accompanying

Inventor: W. Anderson et al  
Docket No. P-14cont/cvp  
Serial No.: 09/237,557  
Resp. Due: 4-11-97  
Date Sent: